

I Beg to Diffract RF Field Programming With Edges

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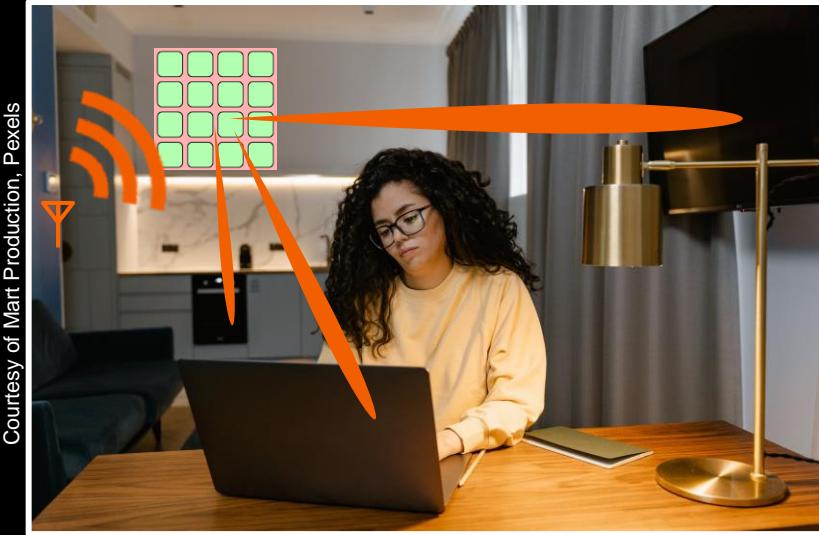
Department of Electrical and Computer Engineering
University of California Santa Barbara



29th International Conference on Mobile Computing and Networking, Madrid, Spain
October 4, 2023

RF Field Programming

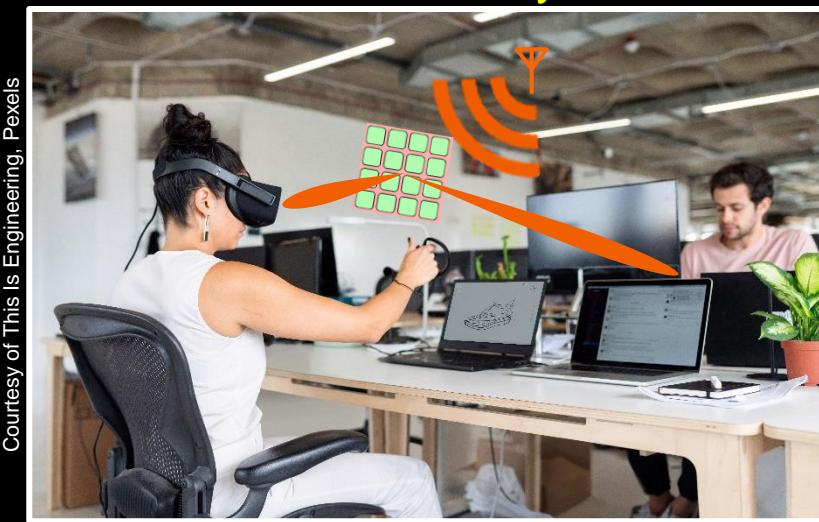
Spatial Multiplexing



Drone Swarm Navigation



Link Privacy

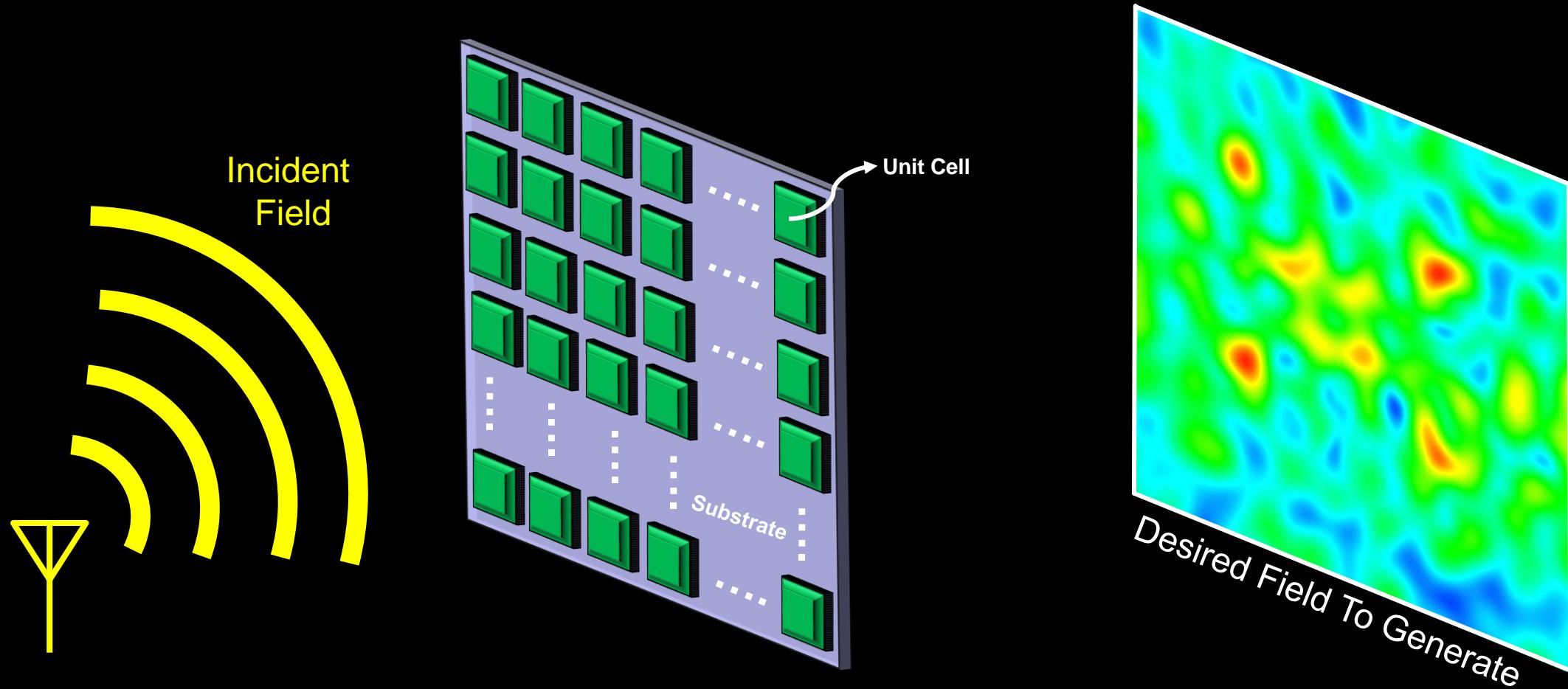


6G Systems

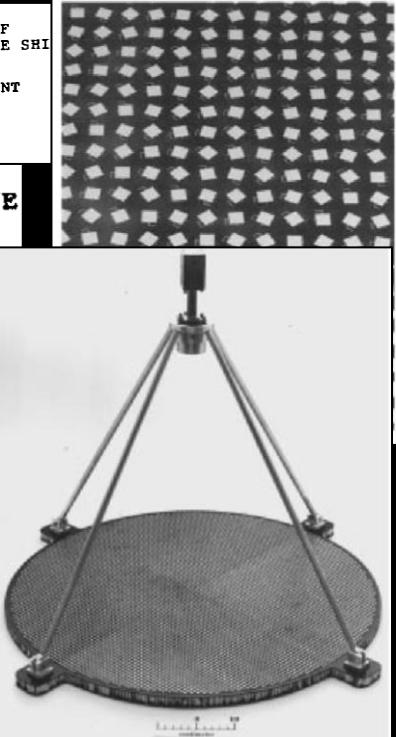
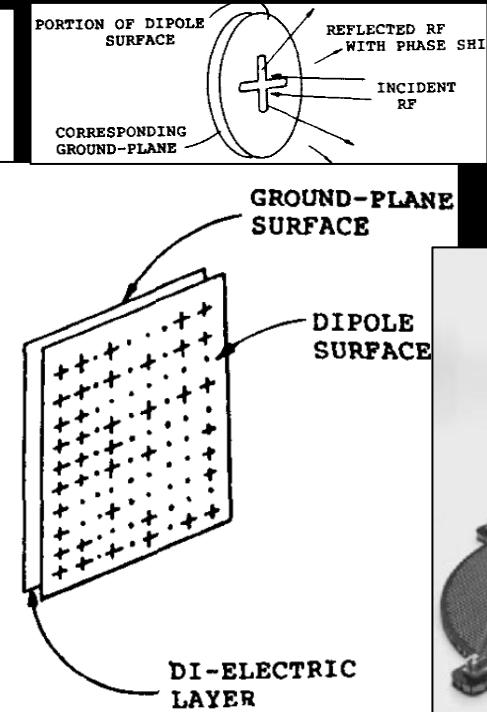
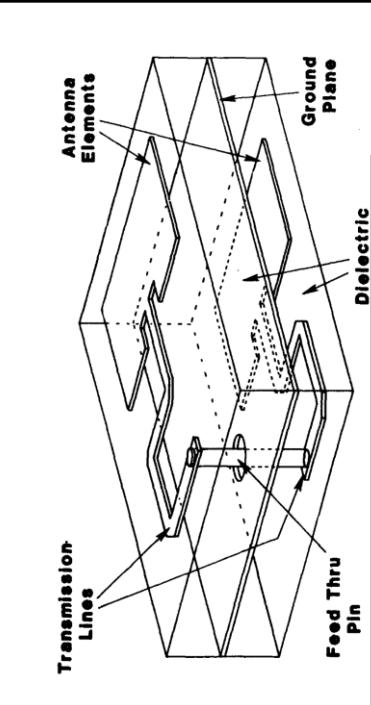
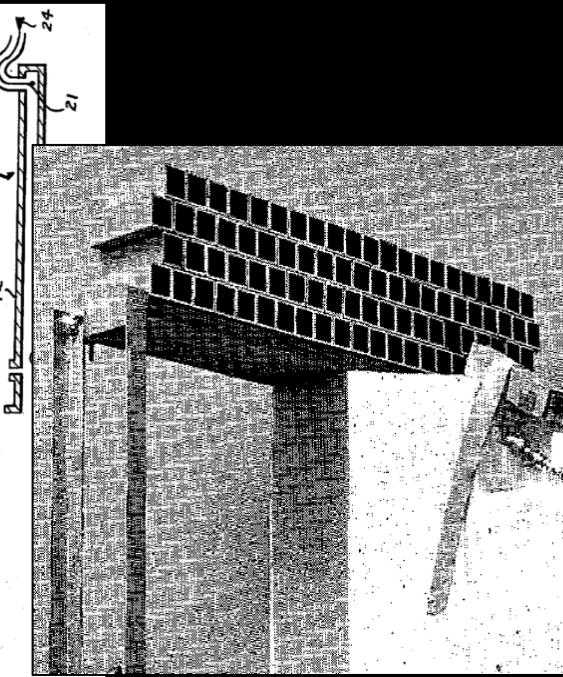
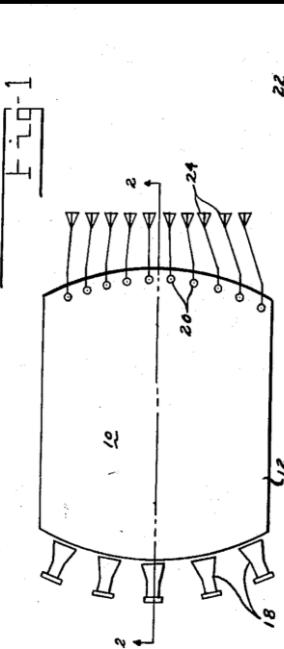
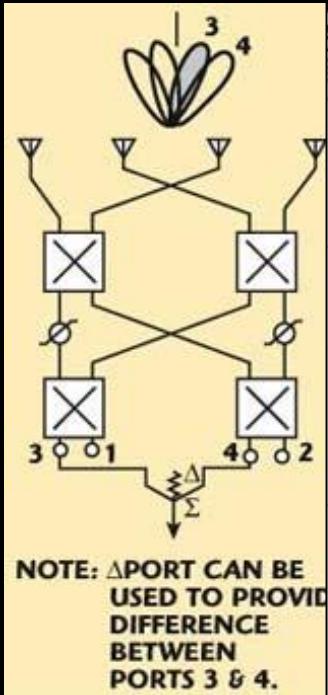


Intelligent Electromagnetic Surfaces

- Lattice of (semi-)passive radiating elements with sub- λ dimensions
- Smartly manipulate and program the incident electromagnetic field



Origins of RF Field Programming



April 1961

Butler Matrix
J. Butler et al.,
Electronic Design

November 1963

Rotman Lens
W. Rotman et al.,
IEEE TAP

November 1963

Reflectarray
D. Berry et al.,
IEEE TAP

September 1987

Microstrip Lens
D. McGrath,
USAF

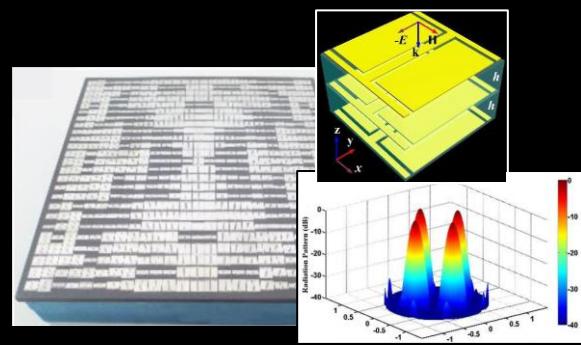
March 1991

FLAPS
A. Kelkar,
IEEE RadarConf

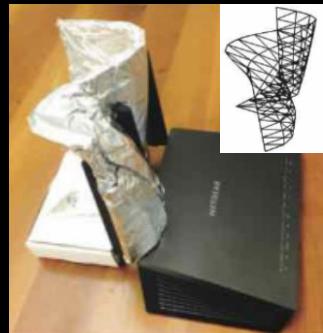
May 1998

Microstrip Reflectarray
J. Huang et al.,
IEEE TAP

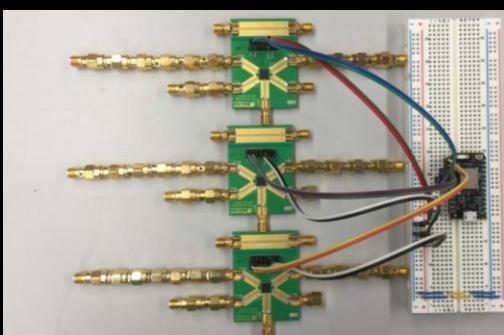
RF Field Programming Today



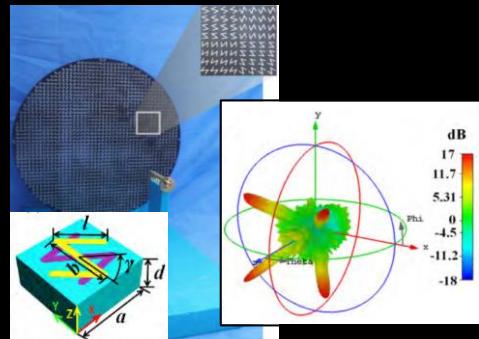
Xu et al.,
IEEE TAP, April 2017



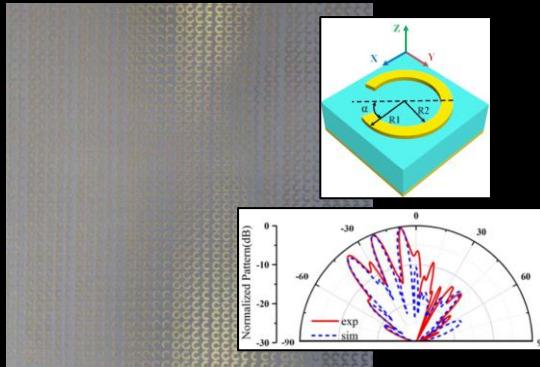
Xiong et al.,
ACM BuildSys, Nov 2017



PRESS, Welkie et al.,
ACM HotNets, November 2017



Wang et al.,
IEEE Access, April 2019



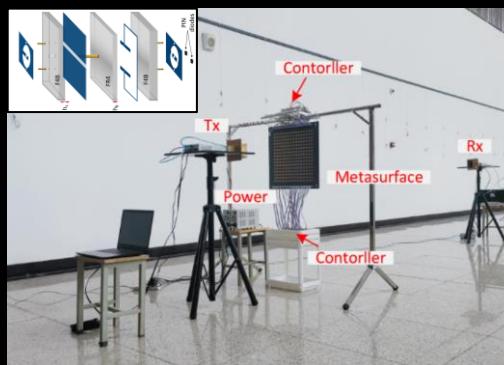
Bao et al.,
IEEE TAP, October 2019



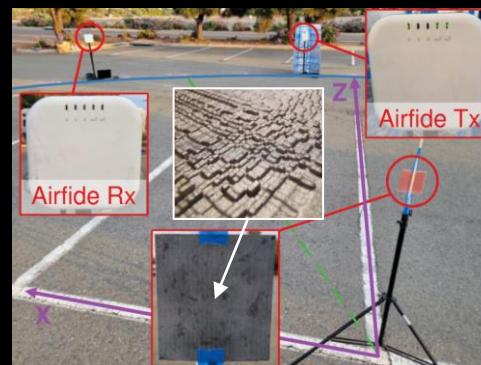
RFocus, Arun et al.,
USENIX NSDI, February 2020



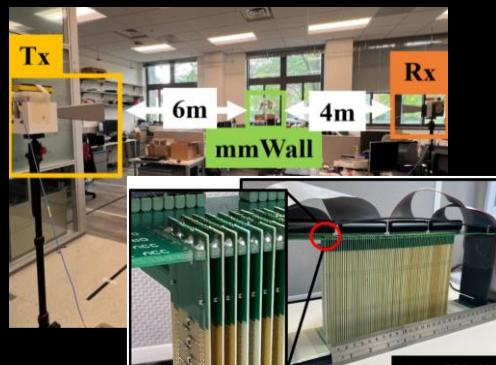
ScatterMIMO, Dunna et al.,
ACM MobiCom, September 2020



RFLens, Feng et al.,
ACM MobiCom, October 2021



MilliMirror, Qian et al.,
ACM MobiCom, October 2022

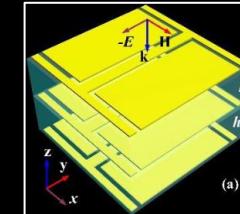


mmWall, Cho et al.,
USENIX NSDI, April 2023

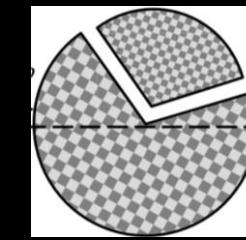
State of the Art

- Achieving high number of simultaneous focal points is challenging
 - State of the art mainly achieves 1-2 focal points
 - with maximum of 4 focal points
 - Use highly specialized element designs
 - Large number of elements
 - Mainly tested in anechoic chambers
- This paper: new paradigm for field programming and multi-point focusing

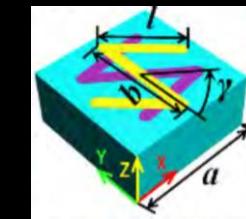
Four-Point Focusing



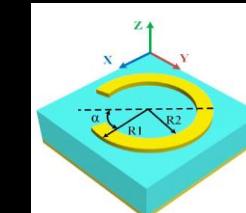
H.-X. Xu, et al.,
IEEE TAP, 2017.



I. Iliopoulos, et al.,
IEEE TAP, 2019.



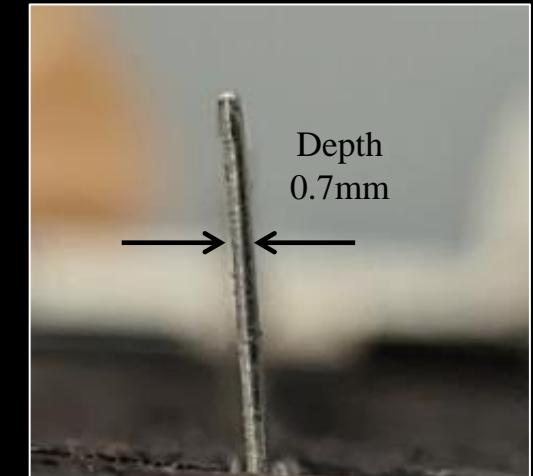
H. Wang, et al.,
IEEE Access, 2019.



L. Bao, et al.,
IEEE TAP, 2019.

RF Field Programming With Edges

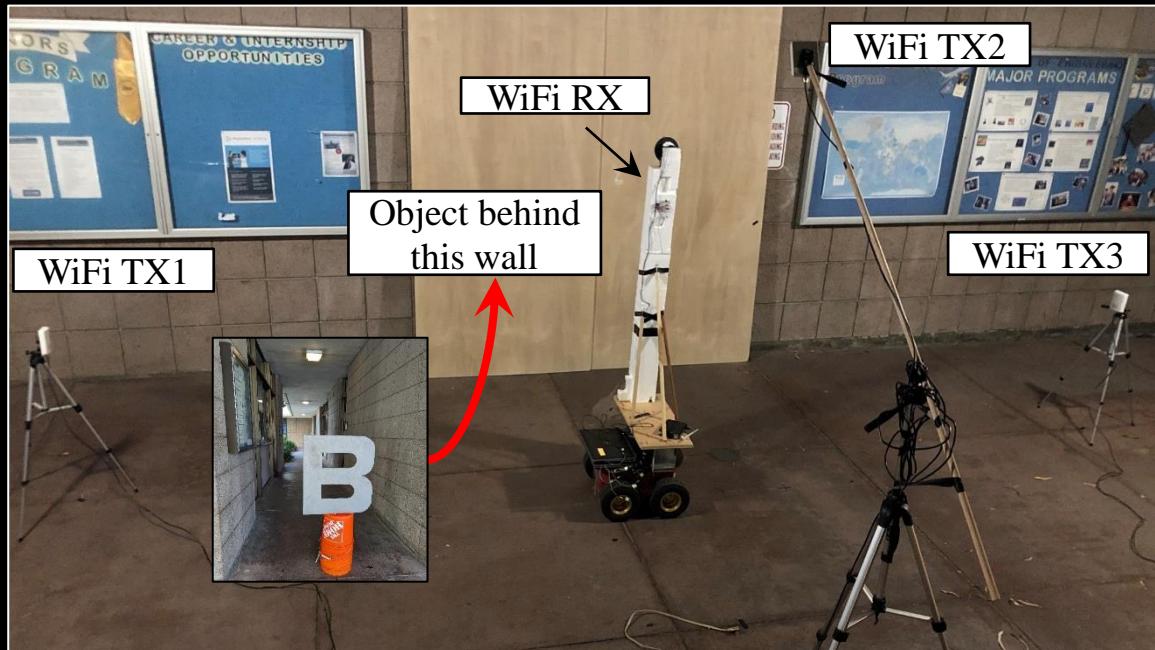
- A new perspective using edges
- What can cheap thin metal plates do here?
- Rich set of “knobs” for RF field programming
- Exploiting Geometrical Theory of Diffraction (GTD) and the corresponding Keller cones
- Several real-world experiments, using only WiFi



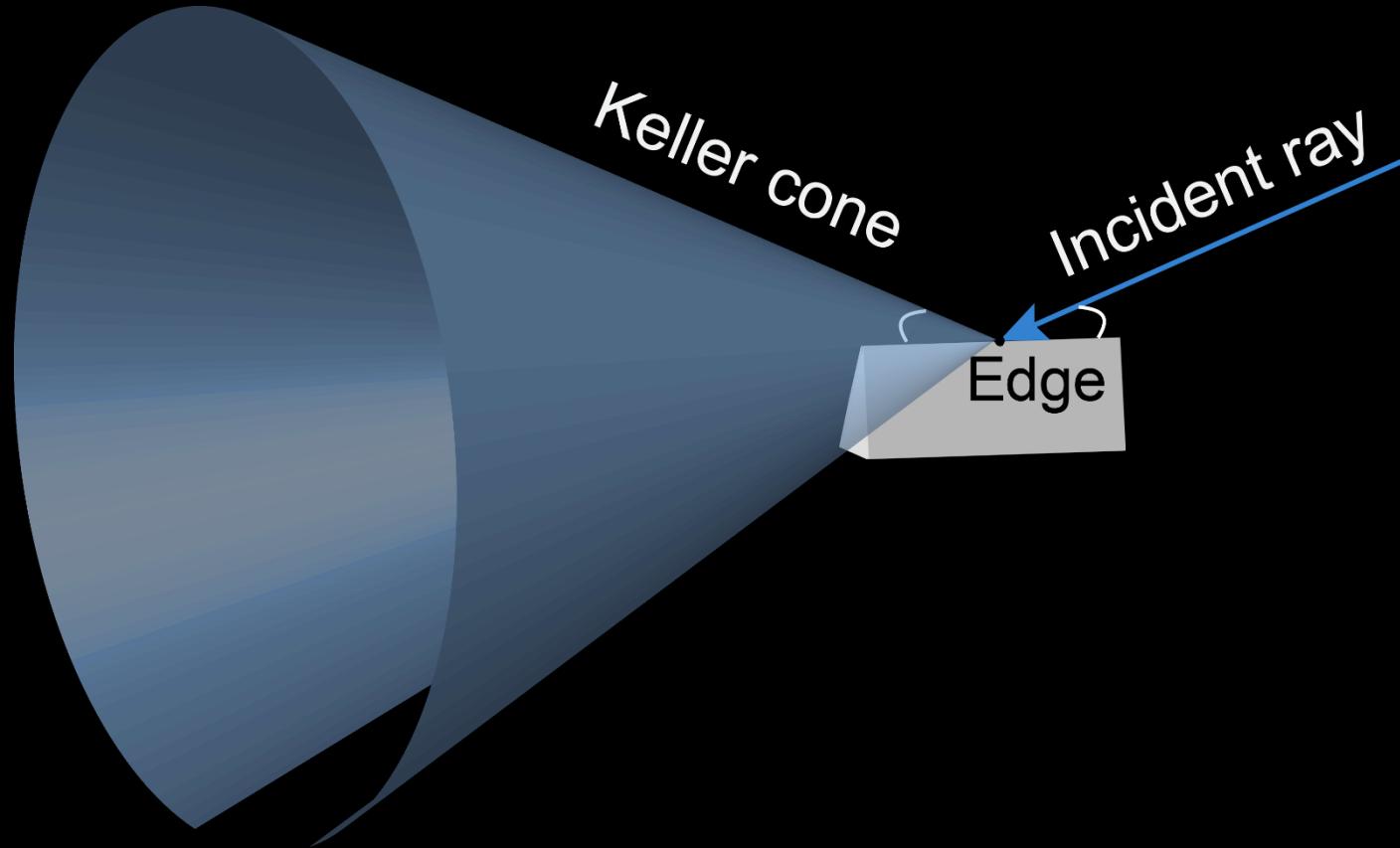
7¢ Steel Plate from Home Depot

Recap: Edge Tracing with Wiffract

- Inspired by Wiffract (our MobiCom 2022 paper)
- Exploited edges for imaging still objects via edge tracing

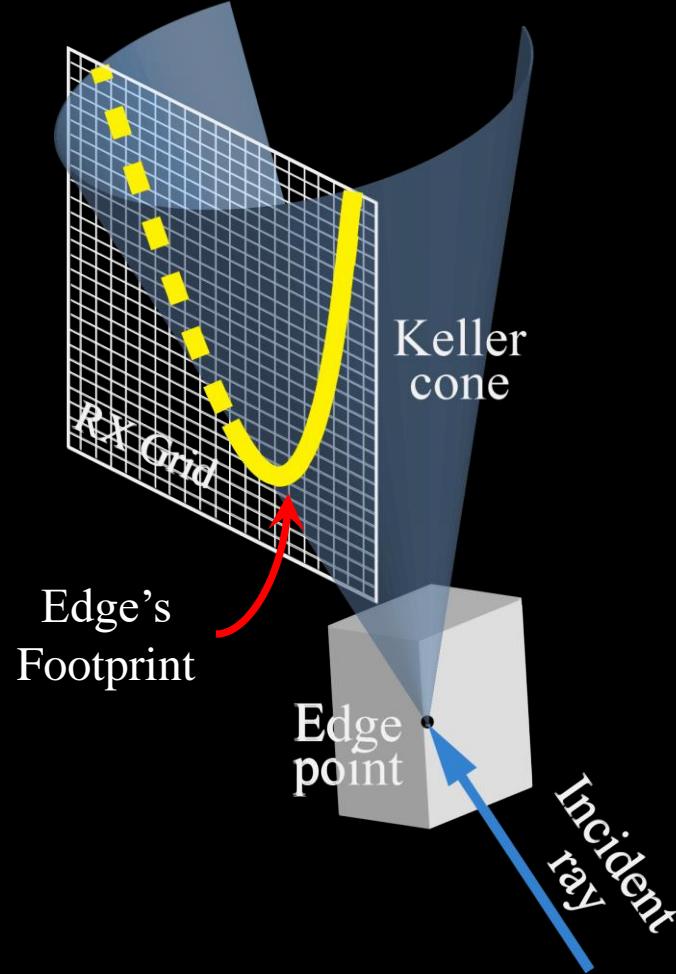


1. A. Pallaprolu, B. Korany, Y. Mostofi, "Wiffract: A New Foundation for RF Imaging via Edge Tracing", ACM MobiCom 2022
2. A. Pallaprolu, B. Korany, Y. Mostofi, "Analysis of Keller Cones for RF Imaging", IEEE RadarConf 2023

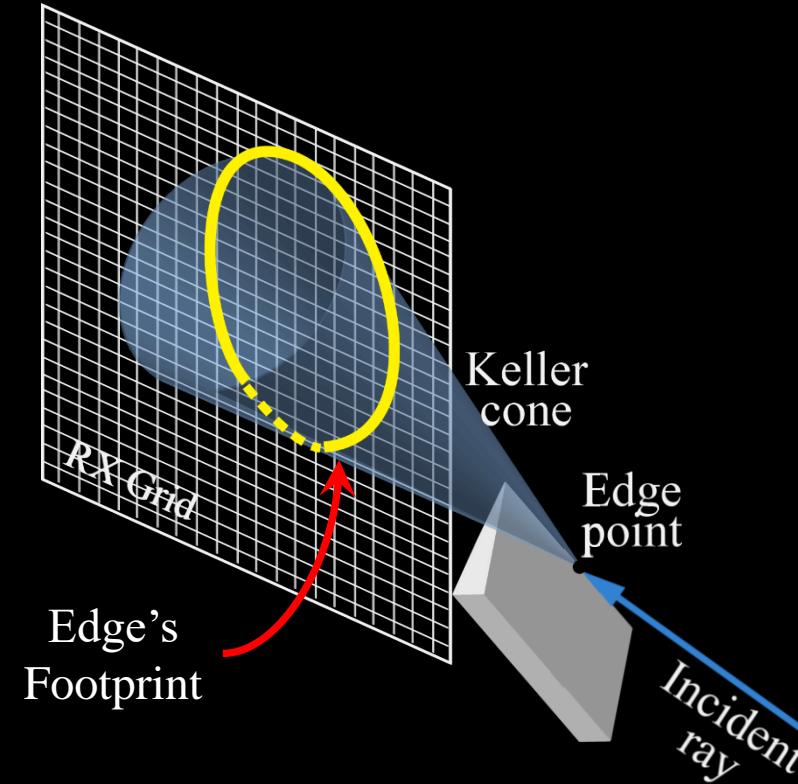


Keller's Geometrical Theory of Diffraction (GTD), 1962

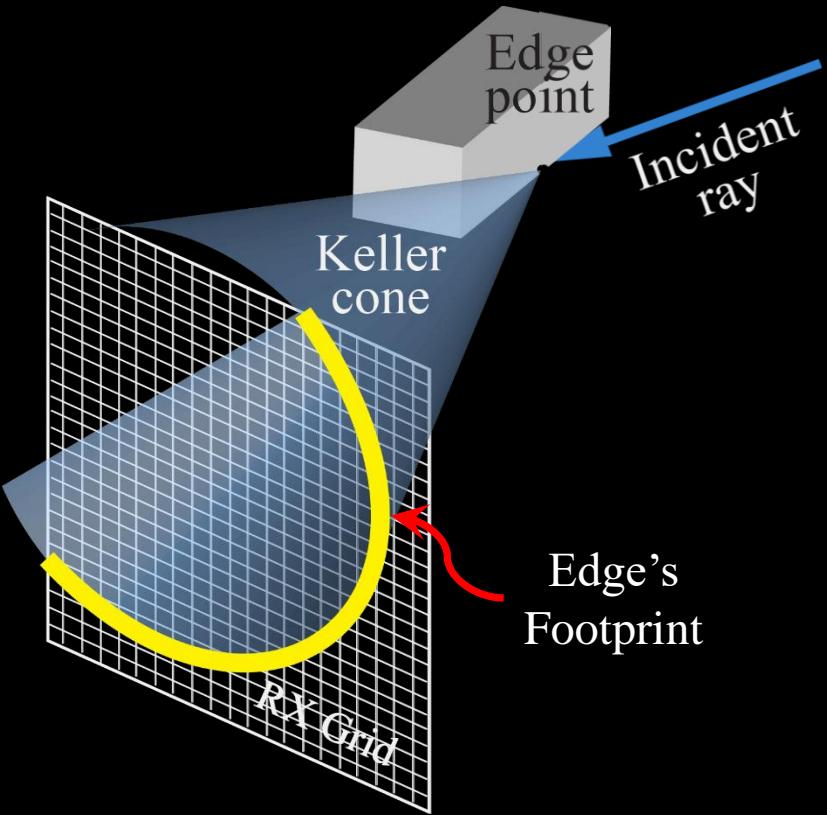
Hyperbola



Ellipse

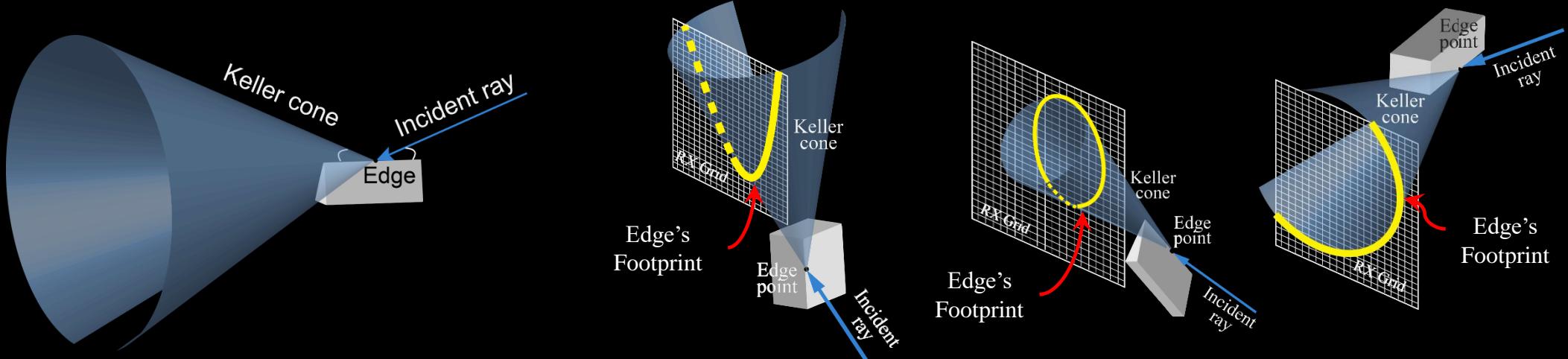


Circle



- Different edge orientations leave different signatures on RX grid
 - Rich knobs for RF field programming

Geometrical Theory of Diffraction



RX Location \uparrow 3D Edge Orientation \rightarrow

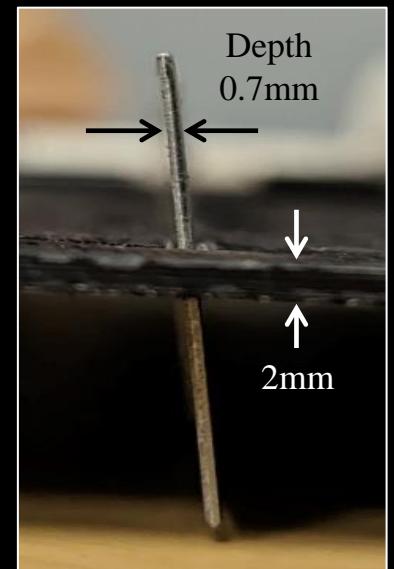
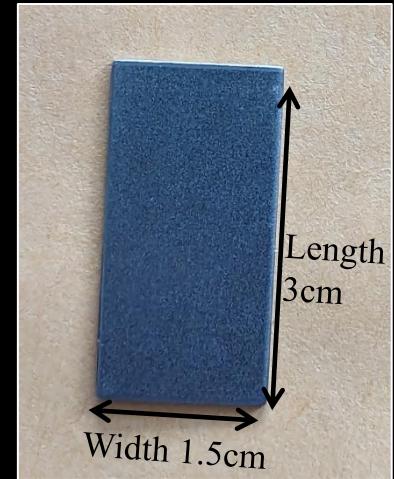
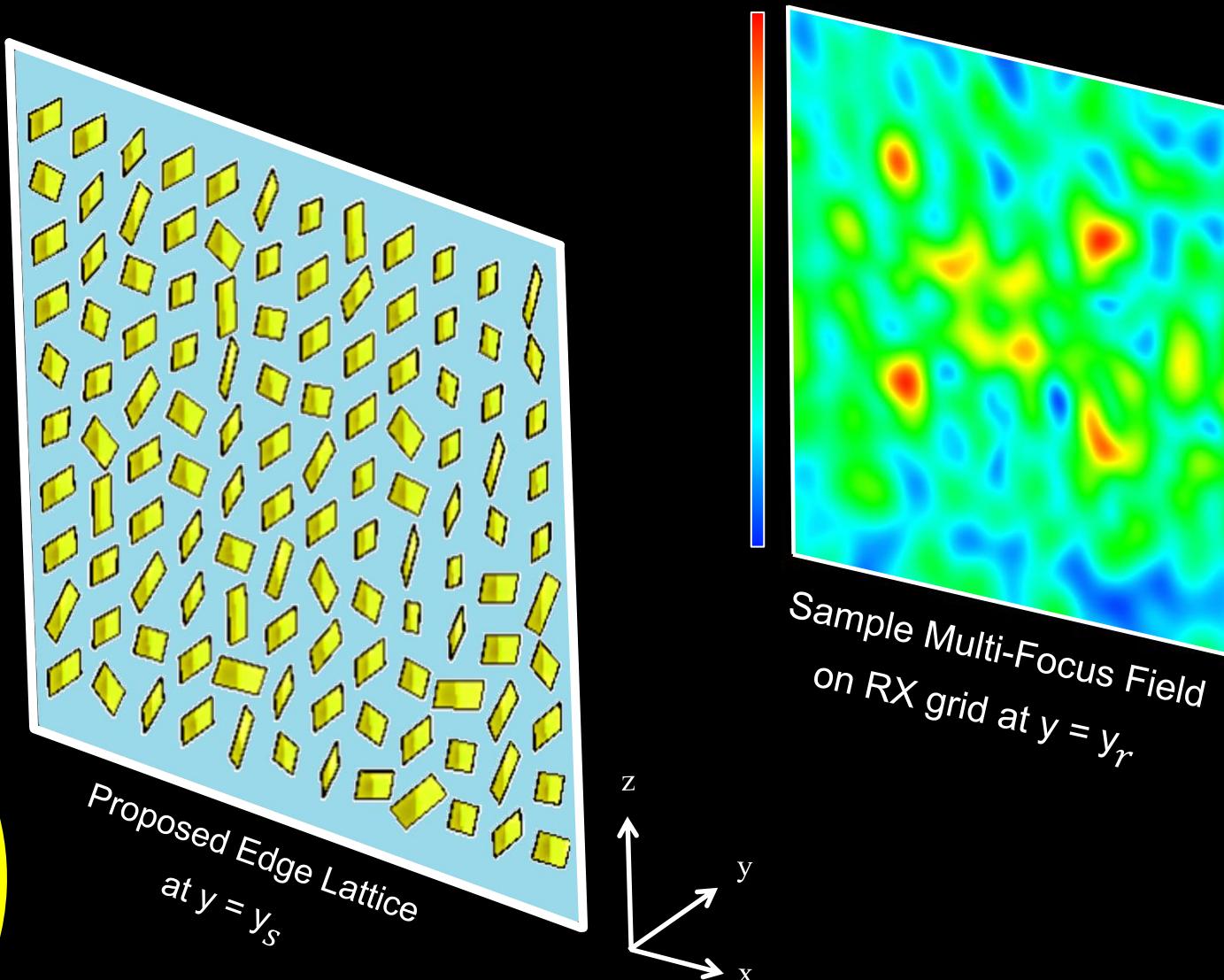
$$F_{\text{cone}}(\mathbf{x}, \mathbf{p}, \mathbf{e}) = I(\mathbf{x}, \mathbf{p}, \mathbf{e}) \times \frac{De^{-j2\pi||\mathbf{x}-\mathbf{p}||/\lambda}}{\sqrt{||\mathbf{x}-\mathbf{p}||}} \times F_{\text{inc}}(\mathbf{p}, \mathbf{x}_{\text{src}})$$

Edge Footprint Indicator Scattered Field On Keller Cone

$$I(\mathbf{x}, \mathbf{p}, \mathbf{e}) = \begin{cases} 1 & \text{If } \arccos\left\{ \frac{\langle (\mathbf{x}-\mathbf{p}), \mathbf{e} \rangle}{||\mathbf{x}-\mathbf{p}|| ||\mathbf{e}||} \right\} = \psi_{\text{inc}} \\ 0 & \text{Otherwise} \end{cases}$$

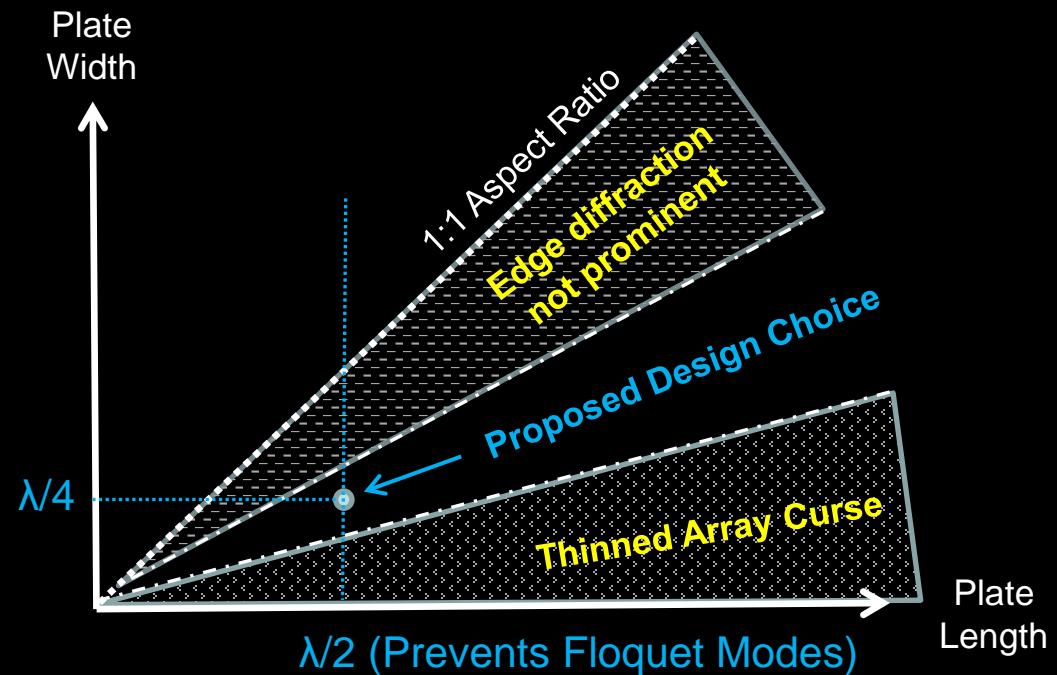
$$\psi_{\text{inc}} = \arccos\left\{ \frac{\langle \mathbf{p} - \mathbf{x}_{\text{src}}, \mathbf{e} \rangle}{||\mathbf{p} - \mathbf{x}_{\text{src}}|| ||\mathbf{e}||} \right\}$$

Electromagnetic Edge Lattice



Design of an Edge Element

- Element dimensions for efficient edge diffraction
- Square plate *i.e.*, 1:1
 - No dominant long edge [1]
- Very long (or wide) plates
 - Need large inter-element spacing for free rotation, increases sidelobe leakage [2]
- **2:1 strikes a good balance**
 - Spacing of $\lambda/2$ to avoid grating lobes [3]



1. C. A. Balanis, "Advanced Engineering Electromagnetics", 2nd ed., Wiley, 2012
2. R. L. Forward, "Roundtrip interstellar travel using laser-pushed light-sails", in Journal of Spacecraft and Rockets, 1984
3. F. Liu, D.-H. Kwon, and S. A. Tretyakov, "Reflectarrays and metasurface reflectors as diffraction gratings", on arXiv:2202.09029, 2022

How to orient the edge element directions
to achieve a desired RF field?

Single Point Focusing

- **Goal:** Orient the edge elements to maximize field intensity at a given point
- How to orient an edge such that Keller cone passes through given point?
- Only activate paths that reinforce field
- Propose Algorithm 1 to orient all edges

Theorem

Element at \mathbf{p}_{ij} and TX at origin, Keller cone passes through \mathbf{f} if

$$\mathbf{e}_{ij} = \frac{\mathbf{f} - \mathbf{p}_{ij}}{|\mathbf{f} - \mathbf{p}_{ij}|} + \frac{\mathbf{p}_{ij}}{|\mathbf{p}_{ij}|}$$

Algorithm 1: Single Point Focusing with a Lattice of Edges

```
1: for edge element  $(i, j) \in \mathcal{M}$  at location  $\mathbf{p}_{ij}$  do
2:   Using above theorem, find orientation such that
      edge element's Keller cone impinges on target.
3:   if resulting edge diffraction path has positive projection
      on direct path from TX then
4:     Orient edge element's cone to impinge on target, i.e., set
       $\mathbf{e}_{ij} = \frac{\mathbf{f} - \mathbf{p}_{ij}}{|\mathbf{f} - \mathbf{p}_{ij}|} + \frac{\mathbf{p}_{ij}}{|\mathbf{p}_{ij}|}$ .
5:   else
6:     Put element in idle state, i.e.,  $\mathbf{e}_{ij} = [0, 0, 1]$ .
7:   end if
8: end for
```

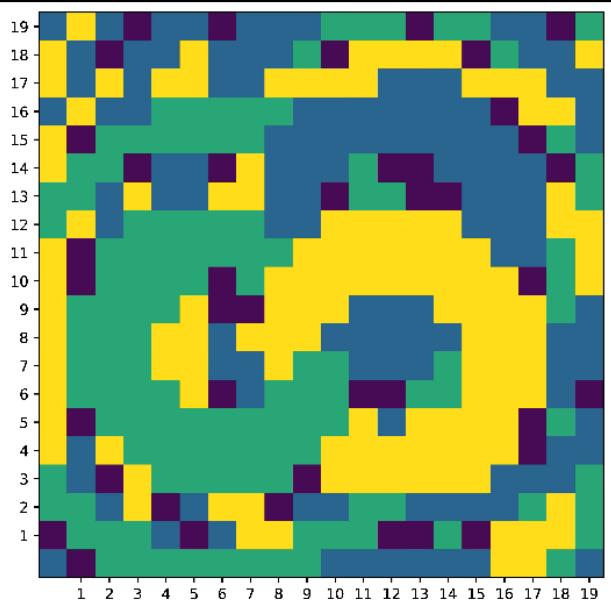
Multi-Point Focusing

- Map each edge to a single focal point

- What is the right mapping?

$$\min_{a_{ij} \in \mathcal{T}_{ij}} \left(\underbrace{\frac{1}{2} \sum_{i,j} \nu_{ij}}_{\text{Enforce Contiguity}} + \underbrace{\max_{k,l \in \{1, \dots, K\}} (|\mu_k| - |\mu_l|)}_{\text{Fair Resource Allocation}} \right)$$

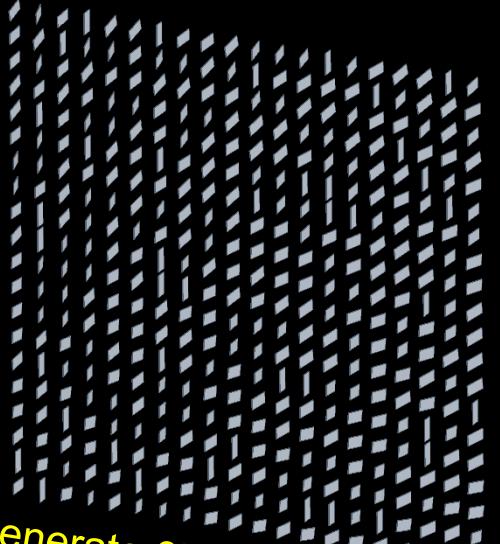
- Random Walk with Metropolis-Hastings Filter



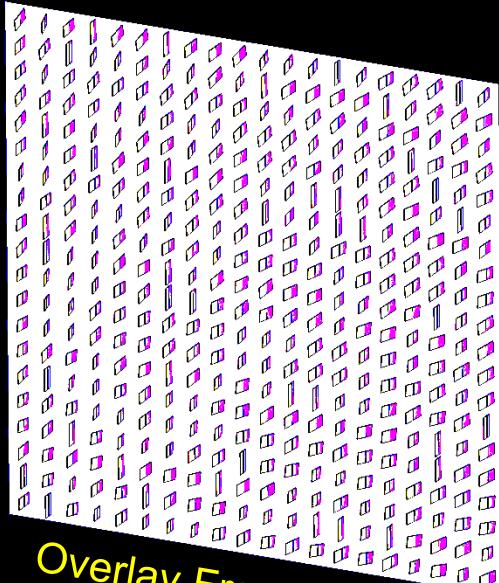
Algorithm 2: Metropolis Random Walk

```
1: Input: Maximum number of iterations,  $C_{\text{iters}}$ 
2: Output: Partition assignment matrix  $A = [a_{ij}]$ 
3: Initialize the assignment matrix  $A$  by
   sampling uniformly from  $\mathcal{T}_{ij} \forall i, j$ .
4: Initialize iteration count  $c = 1$ .
5: while  $c \leq C_{\text{iters}}$  do
6:   Randomly choose an edge element  $(i, j)$ .
7:   if  $|\mathcal{T}_{ij}| > 0$  then
8:     For each possible assignment, evaluate the objective, keeping the
        assignments for all other elements fixed.
9:     Sample  $a_{ij}$  from the corresponding Boltzmann distribution with
        temperature  $T = 10 \log(c)$ .
10:    end if
11:    Increment  $c$ .
12: end while
```

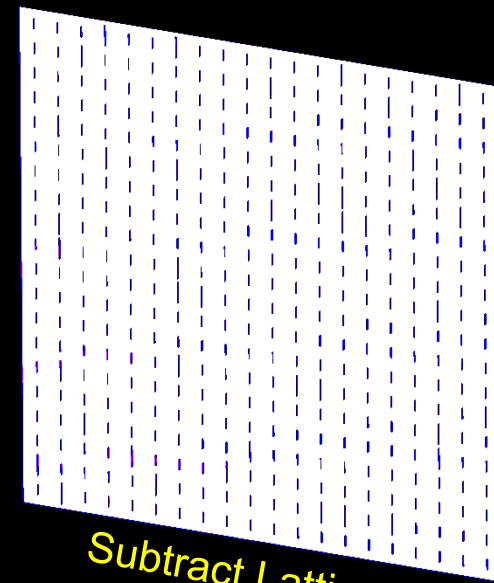
Edge Lattice Manufacturing Pipeline



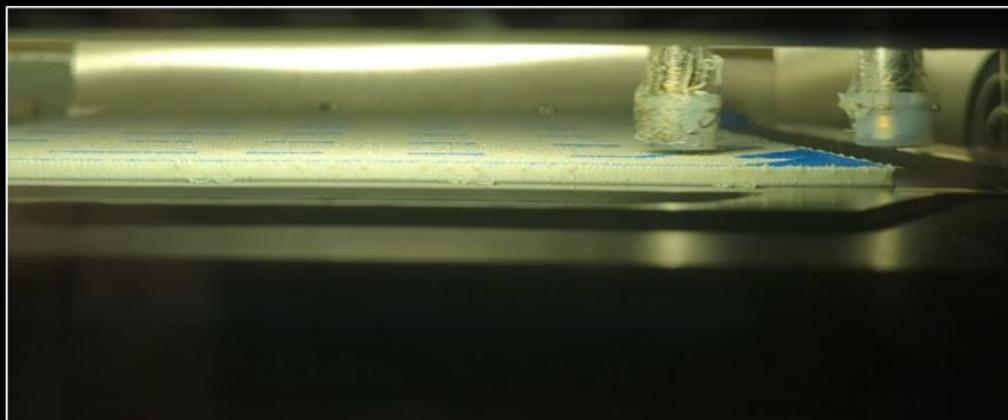
Generate 3D Model of Lattice



Overlay Frame Material



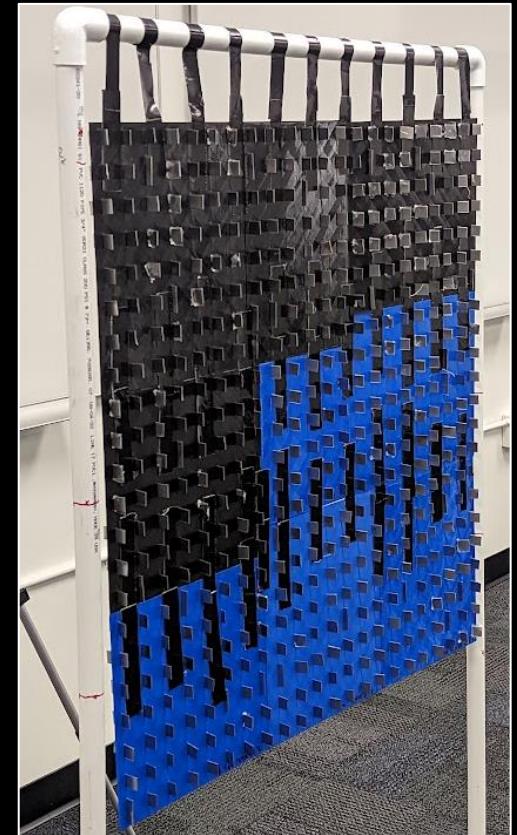
Subtract Lattice to
create angled slots



3D print the frame with slots

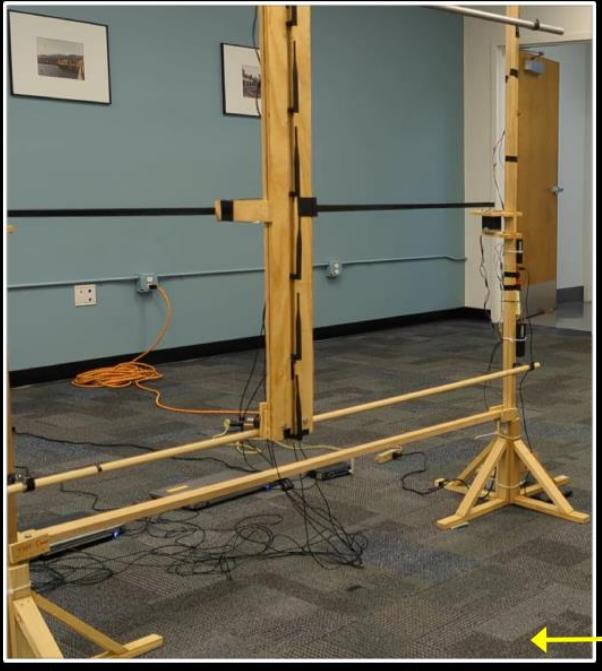


Insert edge elements into printed frame

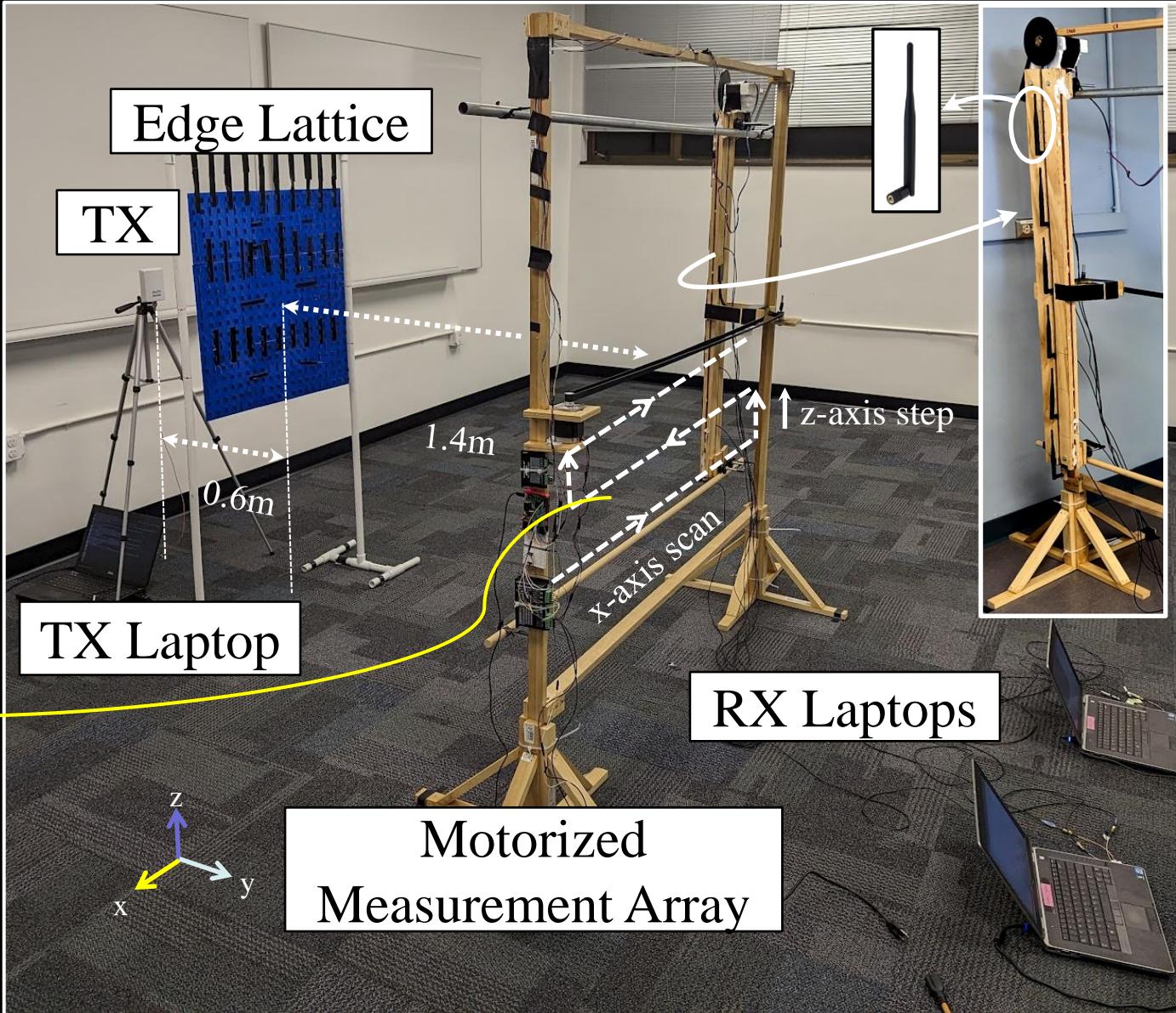


Complete Edge Lattice

Measurement Setup

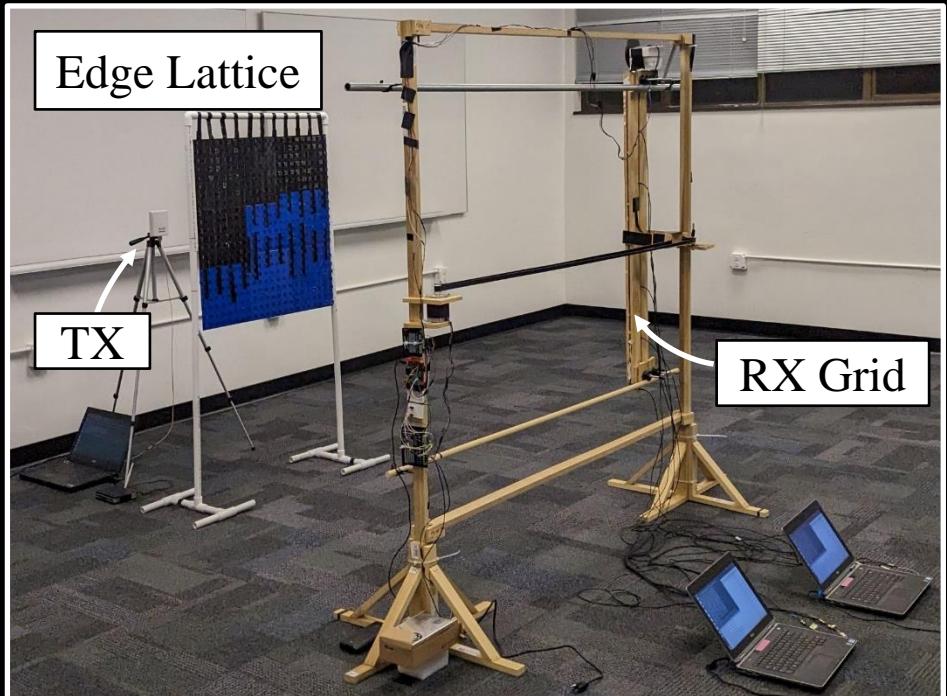


*Video sped up for demonstration purposes

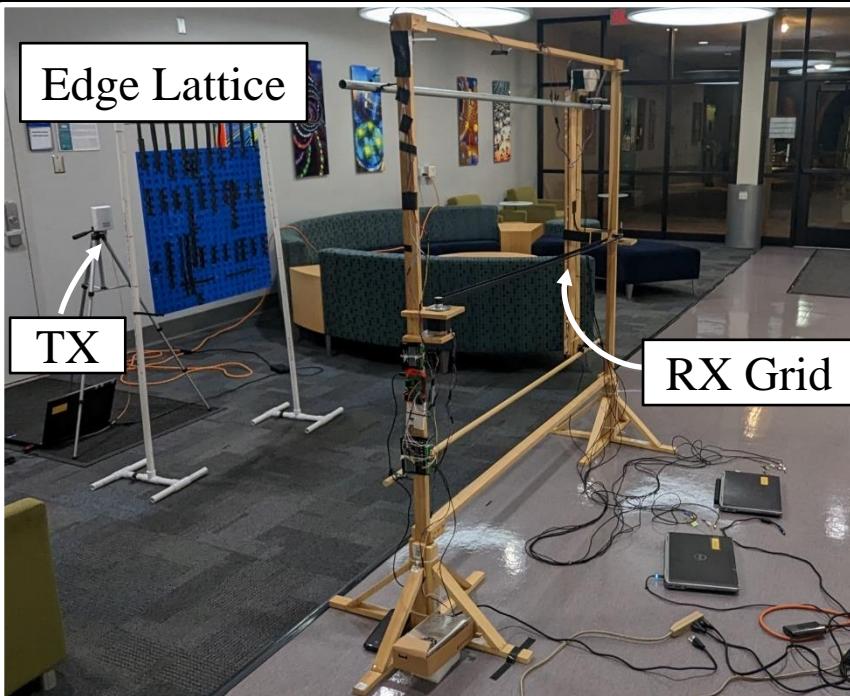


Experimental Areas

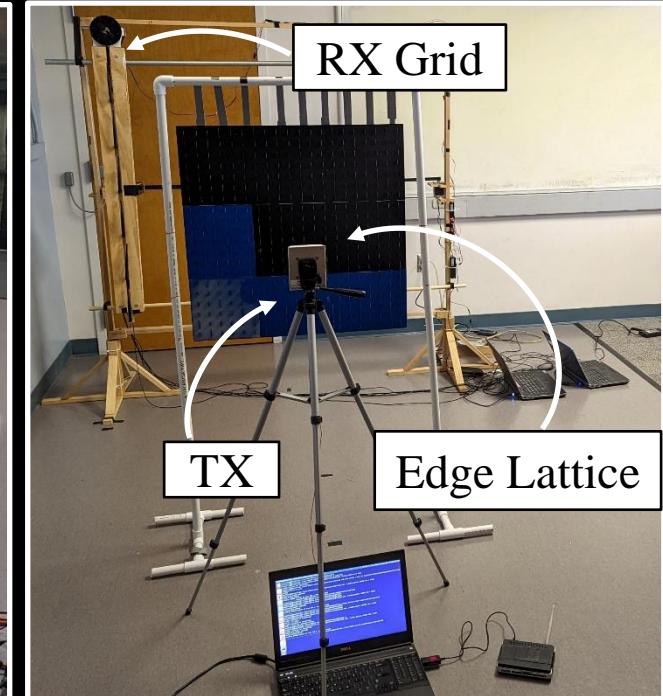
Conference Room



Building Lobby

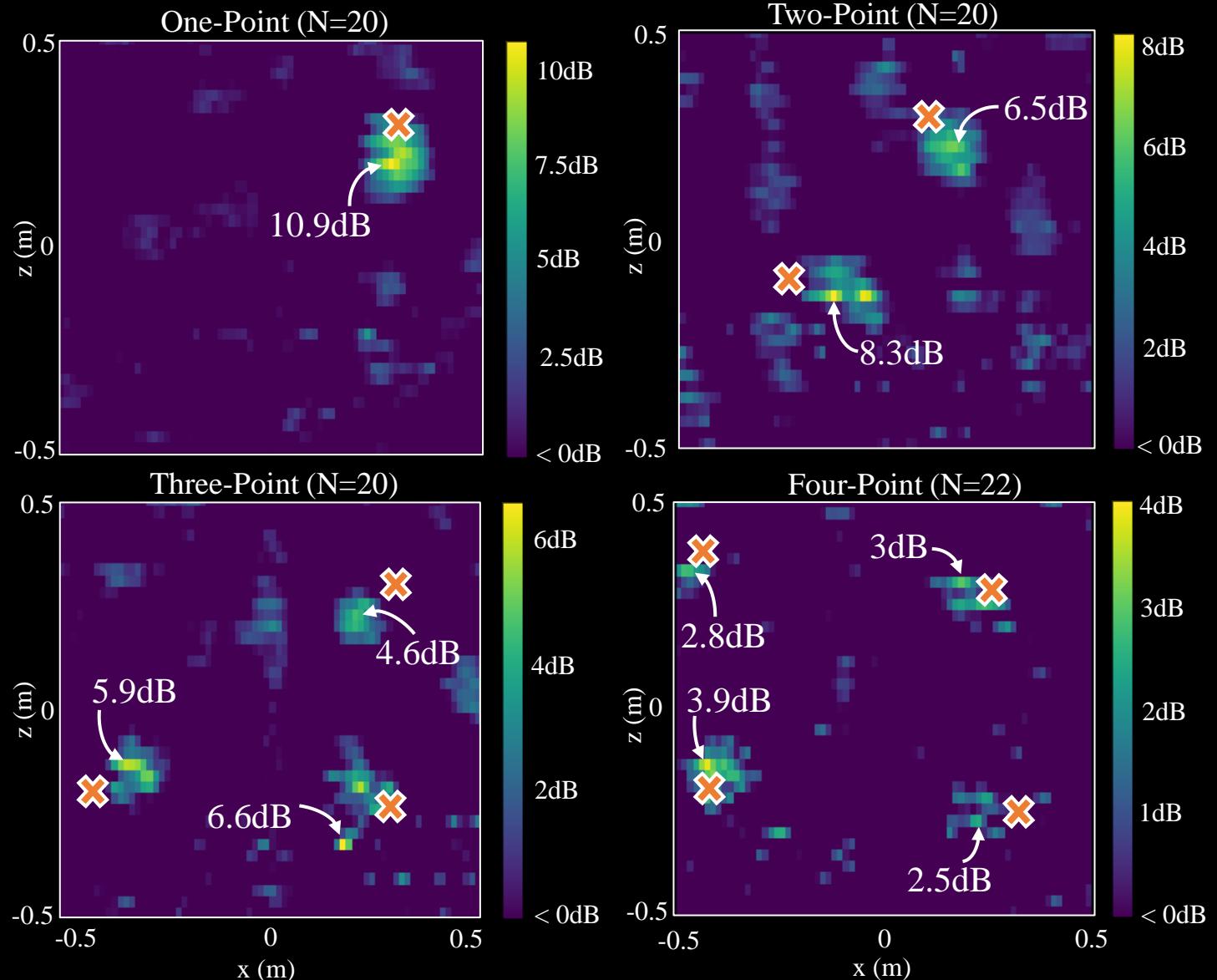
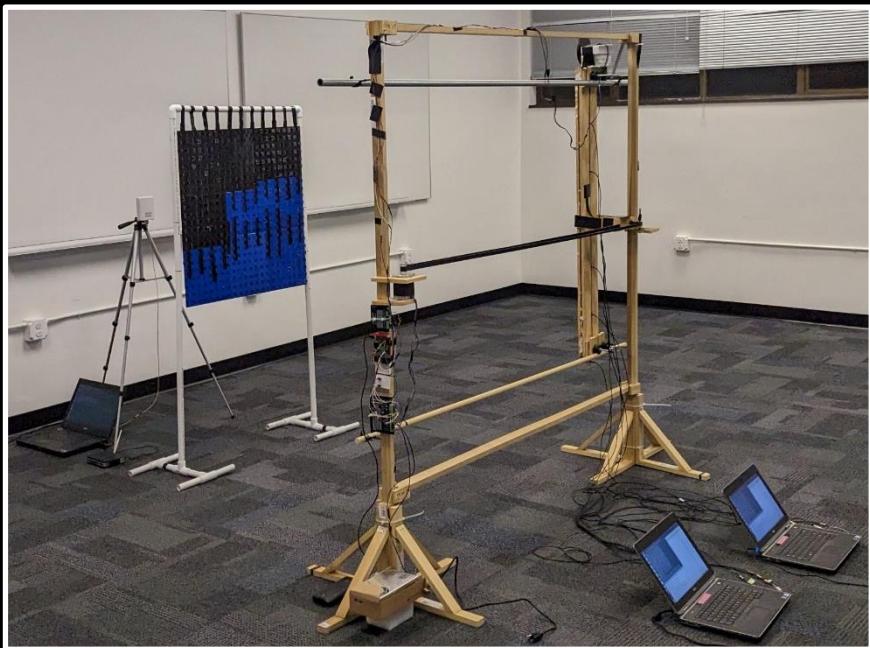


Laboratory

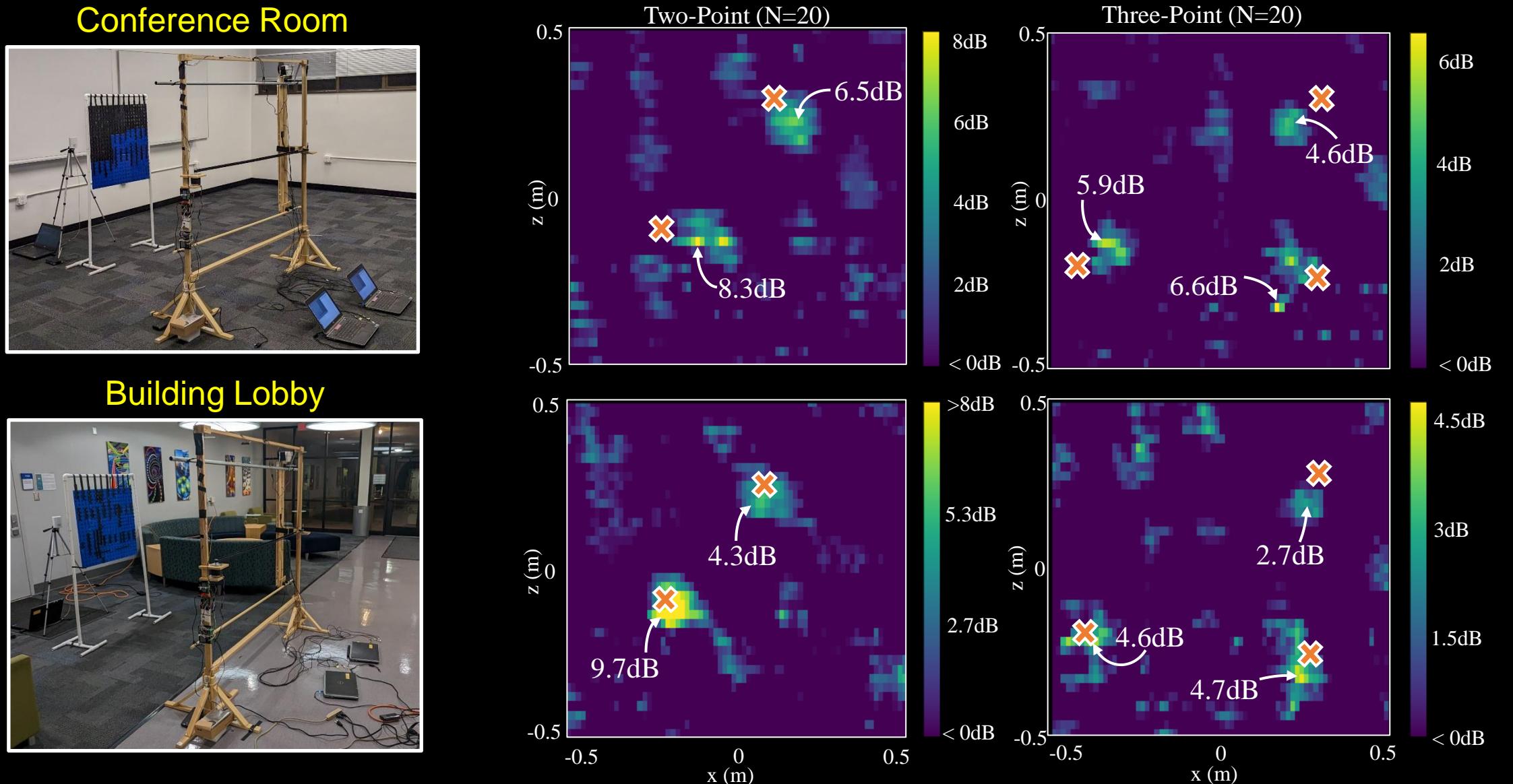


Sample Focusing Results

Conference Room

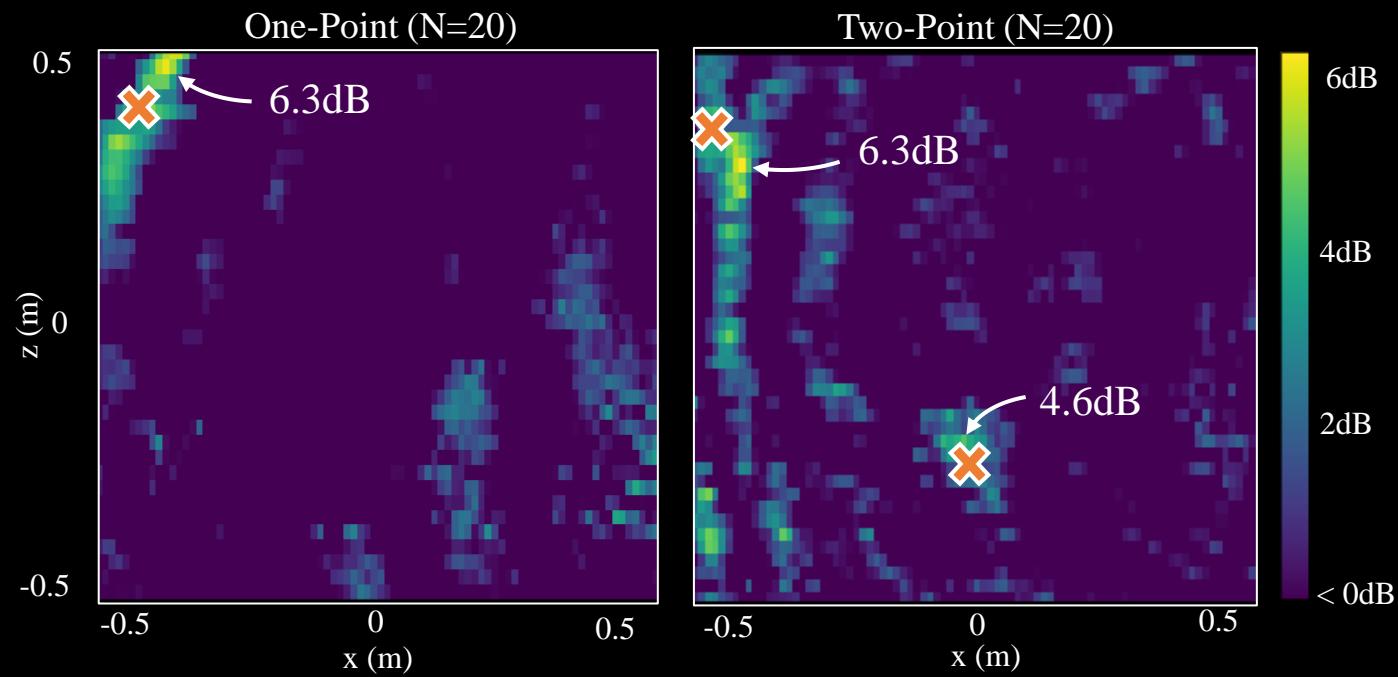
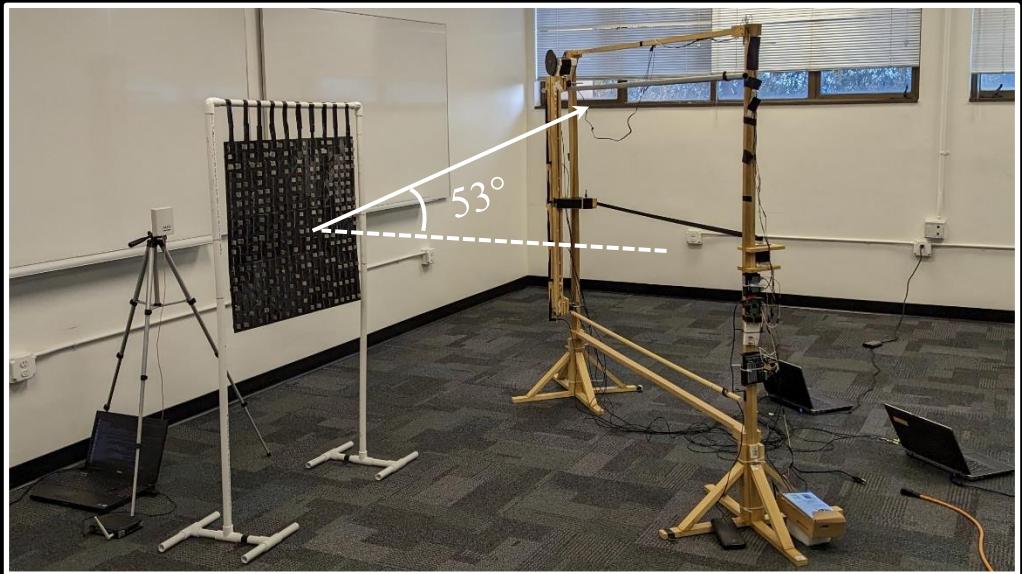


Robust Performance Across Environments



Wide Angle Focusing

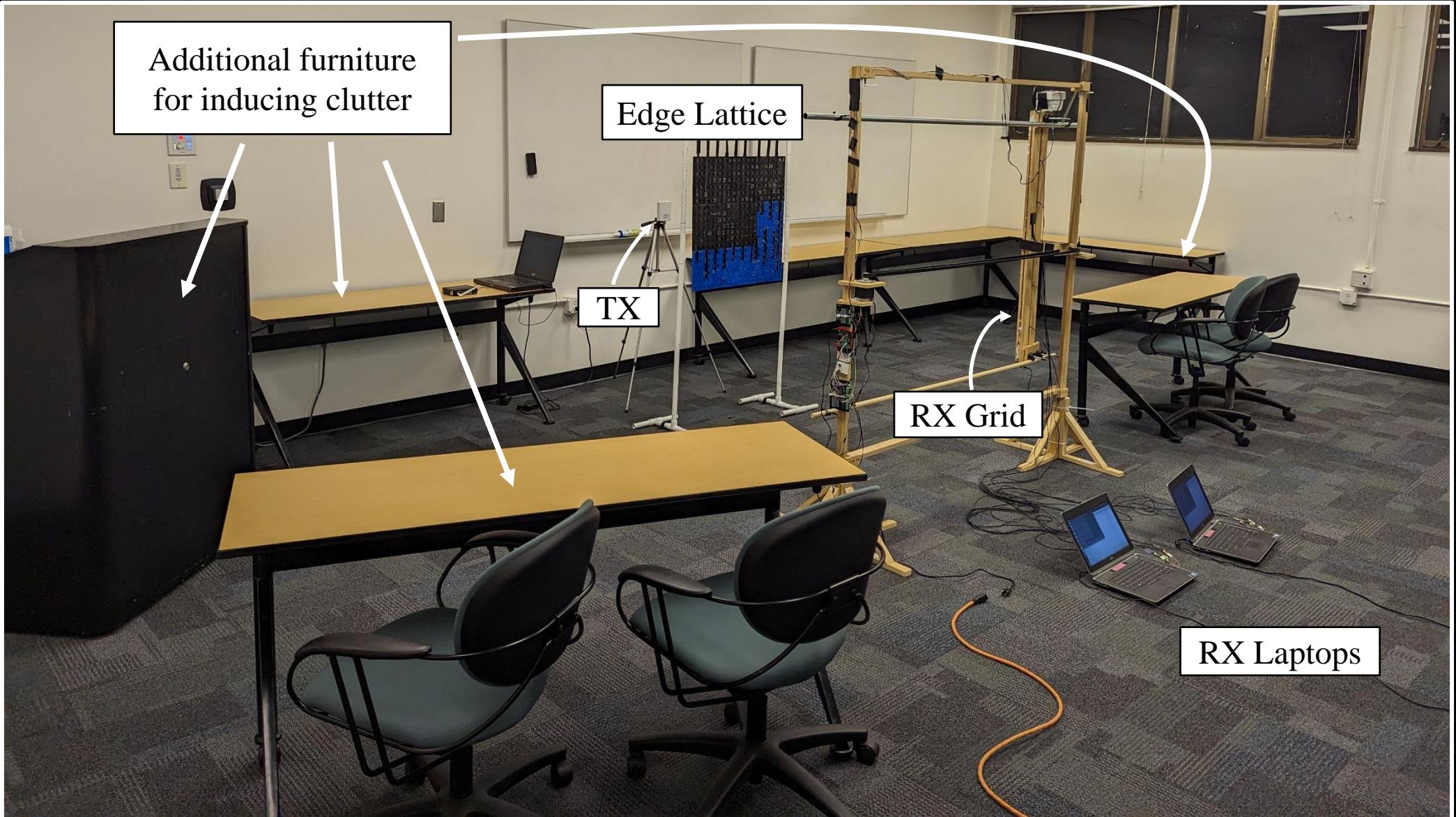
Conference Room



53° focusing

53°, 48° focusing

Impact of Clutter

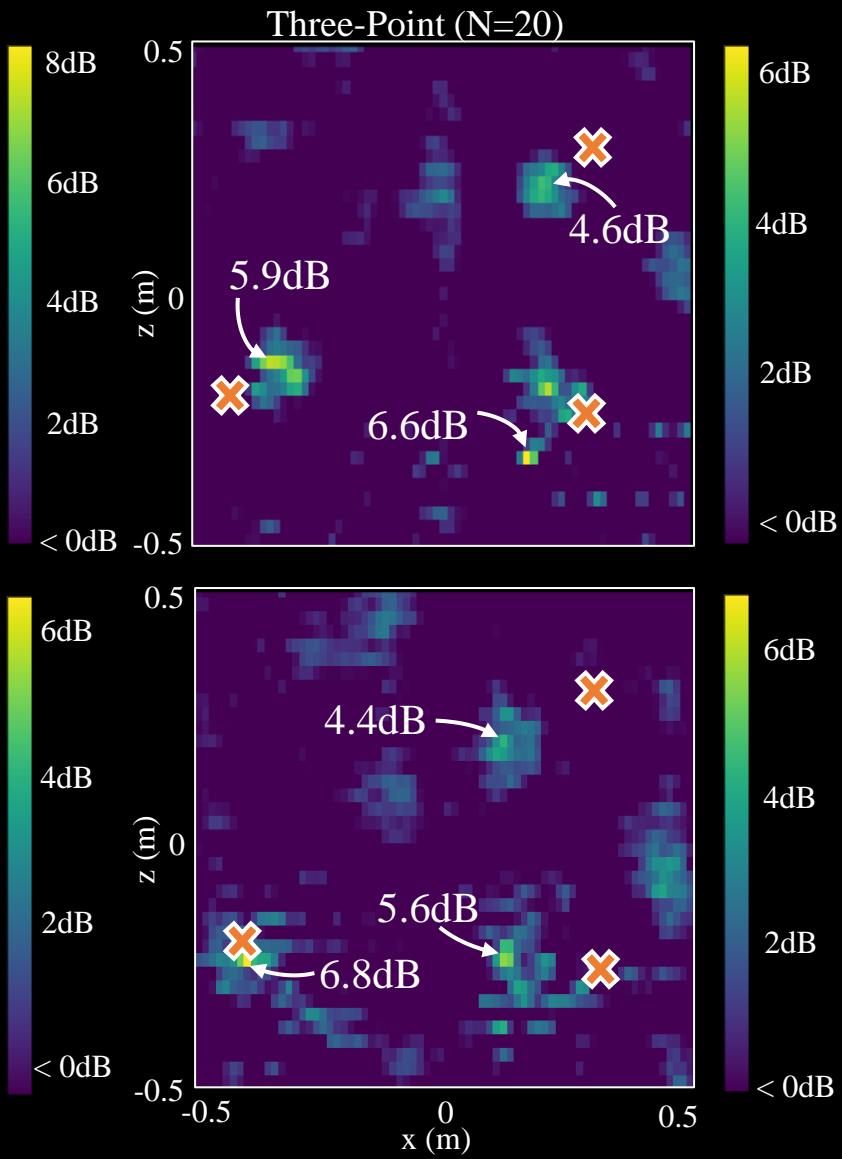
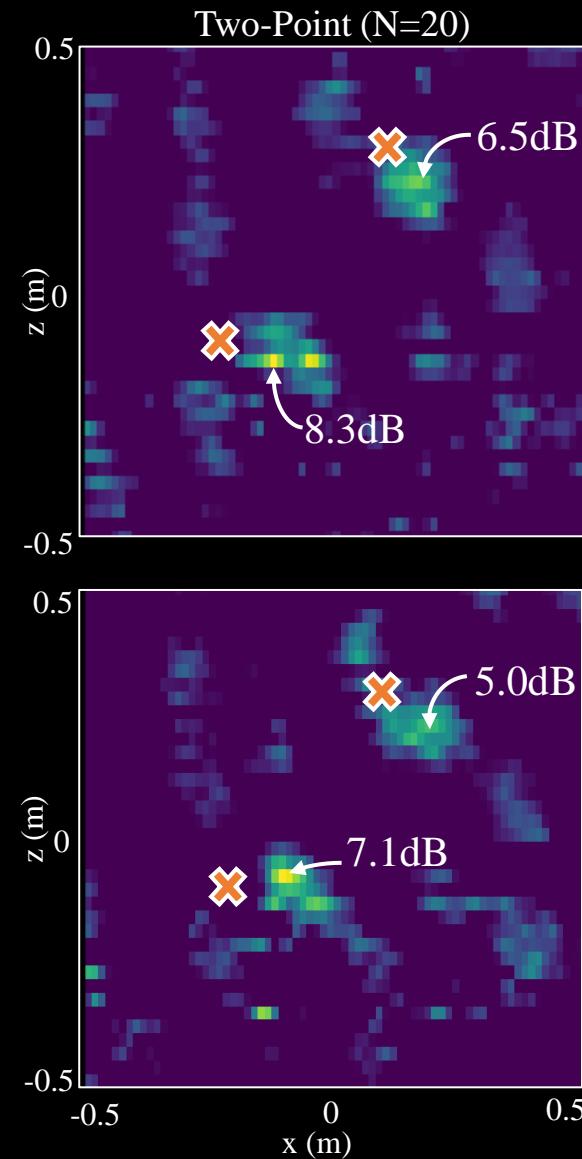


Impact of Clutter

Conference Room

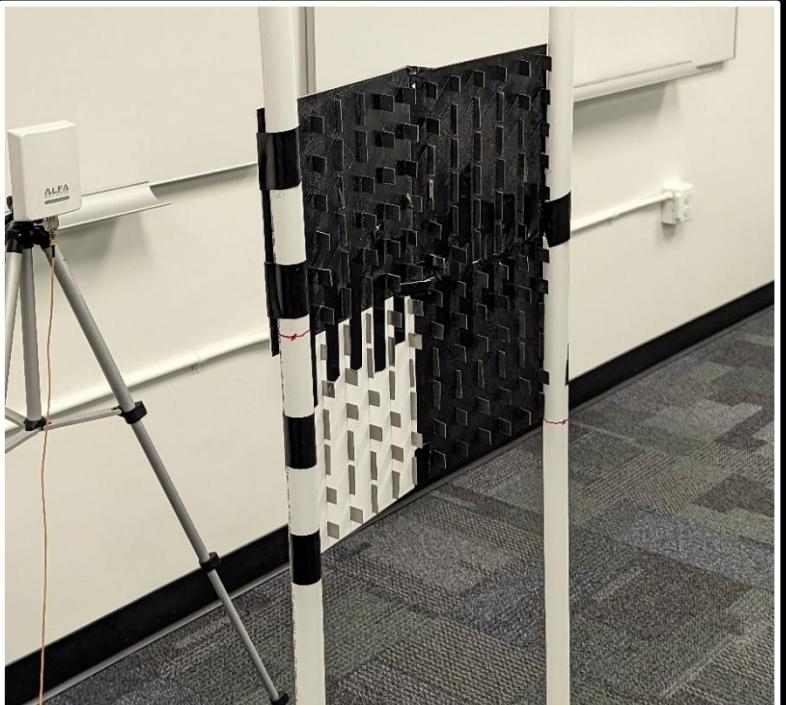


Baseline

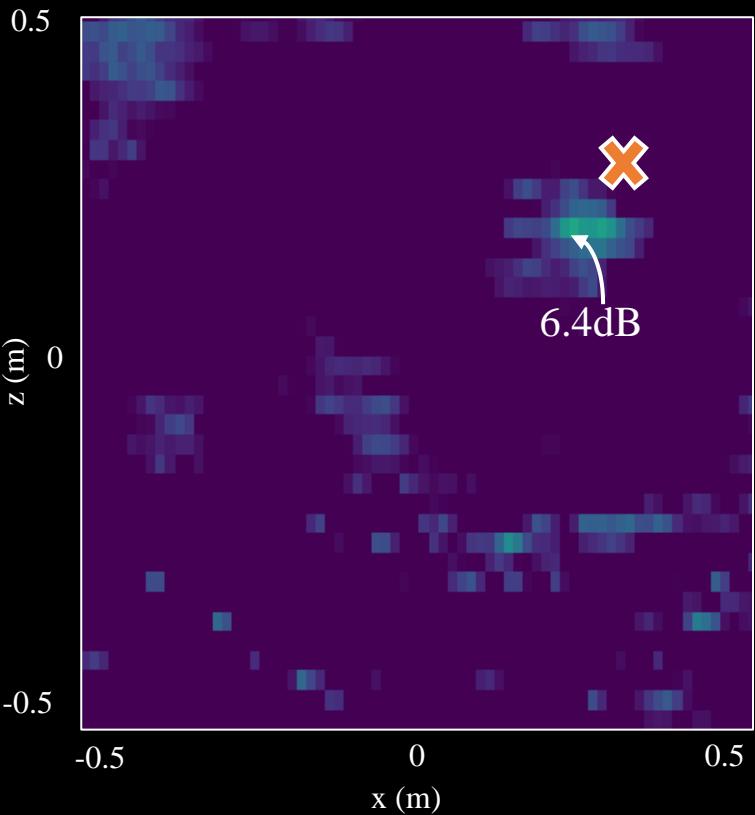


Impact of Lattice Size

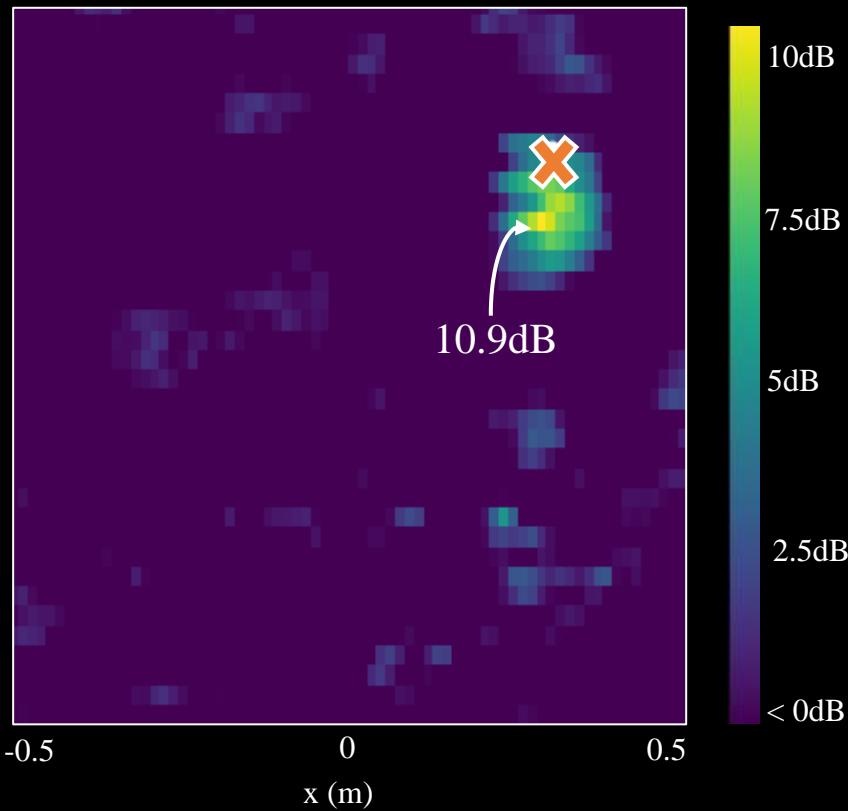
Conference Room, N = 12



One-Point (N=12)



One-Point (N=20)



Comparison Table

Ref.	# Elements	# Beams	#Elements/Beam	Max Steer. Angle	Resolution	Freq.	# Experiments
[31]	21,464	4	5,366	28°	0.12rad	20GHz	1
[62]	3,500	4	875	45°	0.13rad	13GHz	1
[3]	1,600	4	400	39°	0.07rad	16GHz	2
[68]	625	4	156.25	30°	0.13rad	9.6GHz	2
[60]	512	2	256	45°	0.07rad	5.8GHz	2
[69]	408	1	408	55°	0.12rad	4.8GHz	4
[64]	2,400	1	2,400	32°	0.09rad	672GHz	5
[40]	48,400	1	48,400	72°	0.09rad	1THz	4
Our Paper	484	4	121	53°	0.06rad	5.18GHz	13

- Achieved 4 beams
- Least #elements per beam (121 elements/beam)
- Wide steering angle of 53°

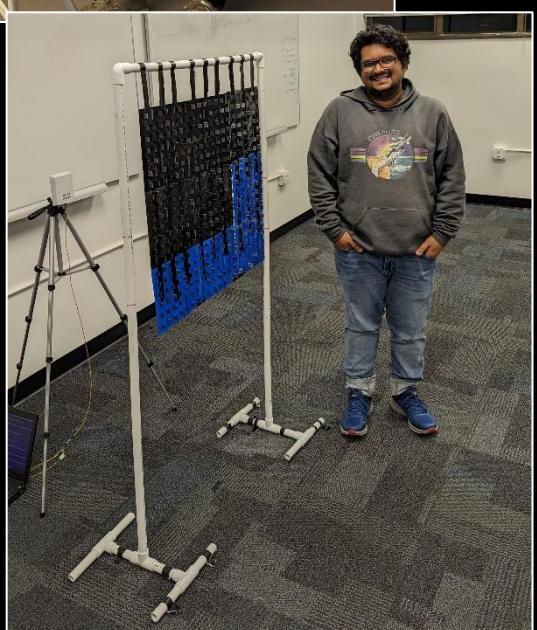
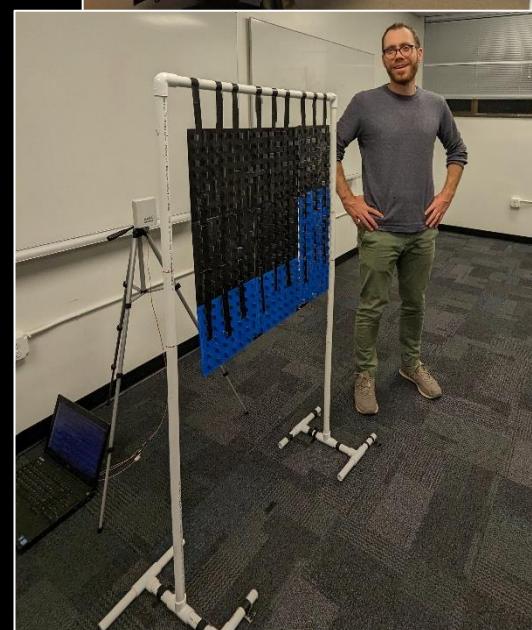
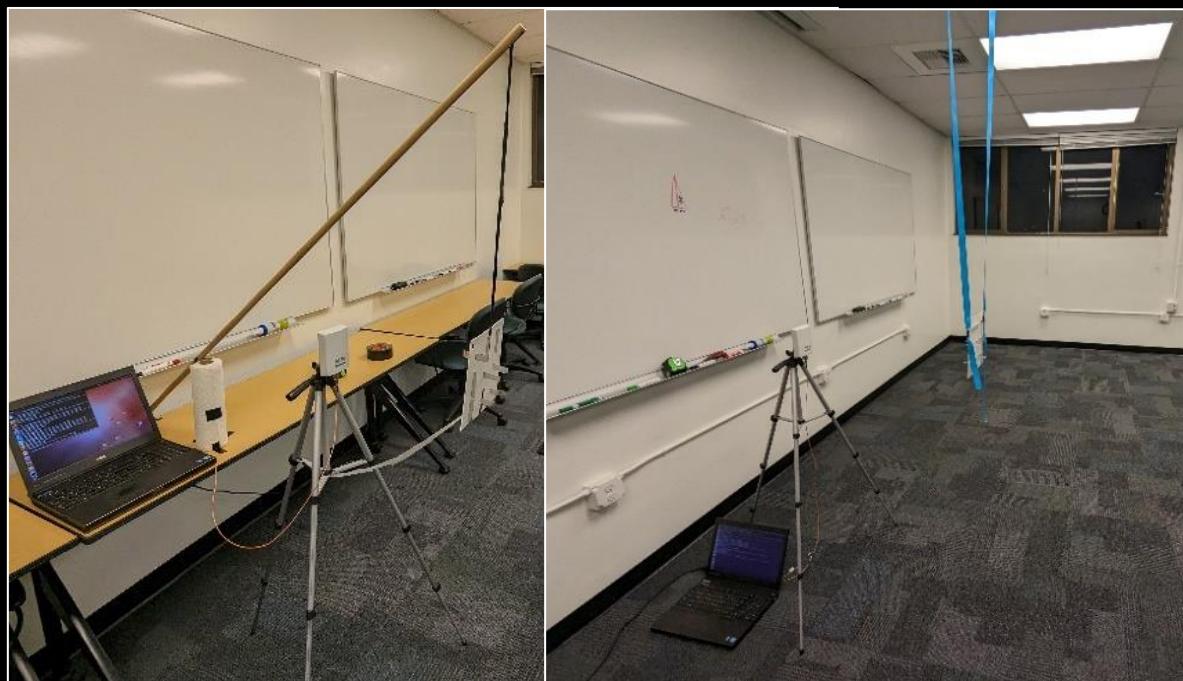
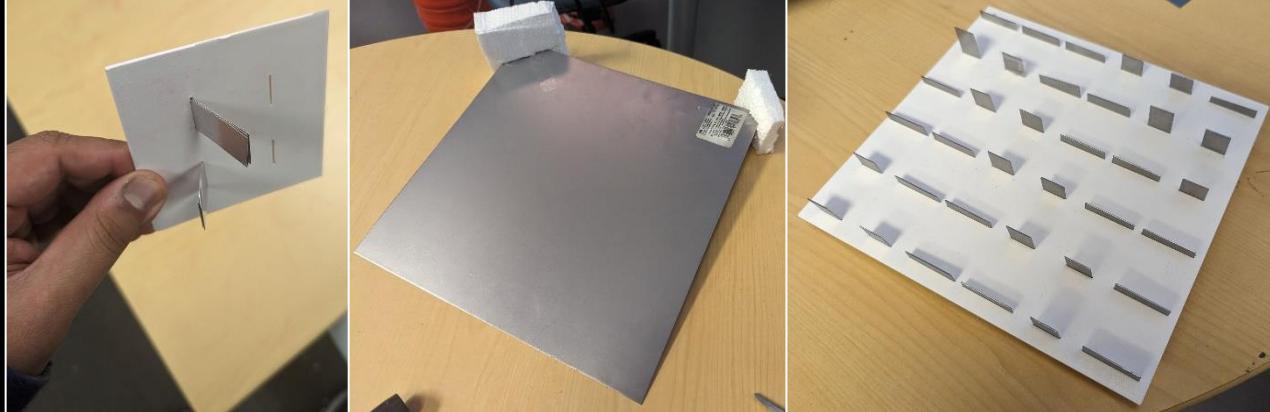
- Achieved the best median resolution of 0.06 radians
- Highest experimental validation (13 experiments, all non-anechoic)

All of this with the **lowest cost per unit cell of 7 cents**

Conclusions

- New paradigm for intelligent surface design
- Lattice of edge elements
 - Rich knobs for programmability using GTD and Keller cones
- Efficient algorithm to configure edge orientations
- Extensive validation
 - 13 experiments
 - 3 different multipath-rich indoor areas
 - Simultaneous focusing up to & including 4 focal points
- First paper to demonstrate simultaneous focusing in non-anechoic settings without complex unit element designs
 - Off-the-shelf, 7 cent, thin metal plates

An Exciting Journey!



Thank you!

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NSF NeTS award # 1816931

